

05-08-01

GAU 3652

S/N: 09/451,574

Atty Dkt No. 99-049-TAP

Handwritten mark resembling a stylized 'H' or '3'.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JAMES L. APPLE ET AL.

Group Art Unit: 3652

Serial No.: 09/451,574

Examiner: Not Yet Assigned

Filed: November 30, 1999

For: DUAL CONCENTRIC ROBOTIC HIGH PERFORMANCE
AUTOMATED TAPE CARTRIDGE SYSTEM

Attorney Docket No.: 99-049-TAP

RECEIVED
MAY 15 2001
TC 3600 MAIL ROOM

**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 C.F.R. 1.97 (e)(1)**

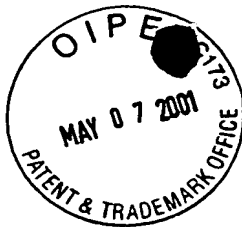
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. 1.56 and 1.97-1.98, the references listed and identified on the attached Form 1449 are being submitted herewith for consideration by the Examiner. The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Statement, i.e., March 16, 2001.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8		
I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:		
5/4/2001	Stephanie Klepp	-1-
Date of Deposit	Name of Person Signing	Signature



that any of the references are "material" as defined under 37 C.F.R. 1.56(b).

A copy of each reference listed on the attached Form 1449 is included herewith. In addition, a copy of the International Search Report issued by the European Patent Office in a foreign counterpart application is included herewith to satisfy the concise explanation of relevance requirement. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

JAMES L. APPLE ET AL.

By: 

Wayne P. Bailey

Reg. No. 34,289

Attorney/Agent for Applicant

Date: May 3, 2001

STORAGE TECHNOLOGY CORPORATION

One StorageTek Drive, MS-4309

Louisville, Colorado 80028-4309

Phone: 303-673-8223

Fax: 303-673-4151

RECEIVED
MAY 15 2001
T03660 MAIL ROOM